

09/26/01  
1c849 U.S. PTO

PATENT

ATTORNEY DOCKET NO. UCDA.004.01US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

11002 U.S. PTO  
09/964992  
09/26/01

In re application of: Mikal E. Saltveit *et al* ) Examiner: Not Yet Assigned  
Serial No.: Not Yet Assigned ) Art Unit: Not Yet Assigned  
Filed: September 26, 2001 ) TRANSMITTAL  
For: Characterization of Phenylalanine ) NEW PATENT APPLICATION  
Ammonia-lyase (PAL) Gene in Wounded )  
Lettuce Tissue )

**BOX PATENT APPLICATION**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. Transmitted herewith for filing is a utility patent application.
  - a. Inventor(s): Mikal E. Saltveit, Reinaldo Campos, Hiroyuki Nonogaki, Trevor Suslow.
  - b. Title of application: **Characterization of Phenylalanine Ammonia-lyase (PAL) Gene in Wounded Lettuce Tissue.**
  - c. Attorney docket number: UCDA.004.01US
2. Also enclosed are:

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: EL 873002049 US

Date of Deposit: September 26, 2001

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231.

(Signature) Barbara Rae-Venter

(Printed Name) Barbara Rae-Venter

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- ☒ 12 sheets of ☐ informal ☒ formal drawings(s).
- ☒ An ☐ executed ☒ unexecuted
- ☒ Declaration and Power of Attorney.
- ☐ Inventors' Declaration.
- ☐ Power of Attorney by Assignee.
- ☐ An Assignment of the invention to Regents of the University of California and an Assignment Recordation cover sheet.
- ☒ Applicant claims small entity status under 37 C.F.R. §1.27.
- ☐ Certified copy(ies) of \_\_\_ application number(s) \_\_\_ filed \_\_\_.
- ☐ An Information Disclosure Statement.
- ☐ A Preliminary Amendment.
- ☐ A sequence listing.
- ☐ Paper copy.
- ☐ Computer readable copy.
- ☐ Statement in Compliance with Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequence.
- ☐ A Petition for Expedited Foreign Filing License.
- ☐ A Declaration of Availability under MPEP 608.01(p)C.
- ☐ An Associate Power of Attorney.
- ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Form PTO/SB/35 or its equivalent is attached.

3. This application is a:

- ☐ Continuation of prior application No.
- ☐ Divisional of prior application No.
- ☒ Continuation-in-part (CIP) of prior application No. 60/235,956

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4. The filing fee has been calculated as shown below:

FOR:	<u>NO. FILED</u>	<u>NO. EXTRA</u>	<u>SMALL ENTITY</u>		<u>OTHER THAN A SMALL ENTITY</u>	
			<u>RATE</u>	<u>FEE</u>	<u>RATE</u>	<u>FEE</u>
Basic Fee			\$355	<b>\$355</b>	\$710	\$
Total Claims	23	3	\$9	<b>\$27</b>	\$18	\$
Indep Claims	11	8	\$40	<b>\$320</b>	\$80	\$
[ ] Multiple Dependent Claims			\$135	\$	\$270	\$
Total Filing Fee:				<b>\$702</b>		\$

Other Fees

[ ] Assignment Recording Fee						
___ Assignments @ \$40.00 each						\$
[ ] Petition for Expedited Foreign						
[ ] Filing License .....\$130.00						\$
[ ] Other fees (list individually)						
(none)						
Total Other Fees:						\$

**TOTAL FEES: \$702.00**

- [ ] A check including the amount of the above indicated TOTAL FEES is attached.
- [ ] Please charge Deposit account No. 18-0020 the amount of \$.
- [X] Filing fee is deferred. Please award a filing date and serial number based upon the enclosed specification (and any drawings), under 35 U.S.C. § 111.

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☐ No fee is required.

- ☒ Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020:
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ A **duplicate** copy of this sheet is attached for accounting purposes.

Respectfully submitted,

Dated: September 26, 2001

  
Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

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